

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: R. Karanam et. al.  
U.S. Serial No.: 09/681,425  
Filed: March 30, 2001  
Customer No.: 006152

Examiner: Unknown  
Group Art Unit: 2857  
Docket No.: 03PM-9035  
Paper No.: \_\_\_\_\_

#2 P. b  
12-06-01

For: "Method And Apparatus For Monitoring Electrical Usage"

The Honorable Commissioner  
of Patents & Trademarks  
Washington, DC 20231

## INFORMATION DISCLOSURE STATEMENT

Sir:

- ☒ 1 Pursuant to 37 CFR 1.97(b) [within 3 months of filing or prior to 1st Office Action]  
[ ] 2 Certification Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance]  
[ ] 3 Fee Payment Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance]  
[ ] 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d) [before issue fee payment]

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]  
[ ] 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and  
[ ] 8 The required certification made in item 11 below; or  
[ ] 9 The \$230.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.  
[ ] 10 37 CFR §1.97(d): [before issue fee payment]; and  
a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention PETITIONS EXAMINER" and if applicable include batch locator information; e.g. "Allowed Files, Batch \_\_\_\_\_, Date of Allowance \_\_\_\_\_", and  
b) The required Certification is stated in item 11 below.

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☐ 11 Certification

- ☐ 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ 14 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to **Deposit Account No. 07-0870**. An original and two (2) copies of this document are enclosed.

Respectfully submitted,



Dave S. Christensen, Agent for Applicants

Registration No.: 40,955

GENERAL ELECTRIC COMPANY

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Tel: (860) 747-7141

Date: December 3, 2001

**FAX****Date** 12/03/2001**Number of pages including cover sheet****6****TO: USPTO****Phone****Fax Phone** 703-872-9318**FROM:** Sara Coulombe  
Patent Docket Ass't  
GE Industrial Systems  
41 Woodford Avenue  
Plainville, CT 06062**Phone** 860-747-7157 (8\*756-7157)**Fax Phone** 860-747-7172 (8\*756-7172)**CC:****Remarks:** ☐ Urgent ☐ For your review ☐ Reply ASAP ☐ Please comment

Facsimile transmitted herewith is PTO 1449 with a List of Prior Art Cited by Applicant for Serial No. 09/681,425 Docket No. 03PM-9035.

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 Washington, D.C. 20231

Sir:

Transmitted herewith is PTO 1449 with a List of Prior Art Cited by Applicant in the above-identified application.

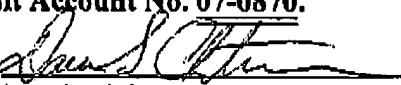
☒ No additional fee is required.

☐ The fee has been calculated as shown below and may be charged to PTO Deposit Account No. 07-0870:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total	*	Minus 20	**	= 0
Independent	*	Minus 1	***	= 0
OTHER (specify)				

Rate	Audit Fee
X 18	\$ 0
X 78	\$ 0
+ 0	\$ 0
Total	\$ 0

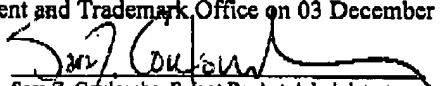
**NOTE: The Commissioner is hereby authorized to charge any deficiency in fees of any kind related to the attached filings to PTO Deposit Account No. 07-0870.**

By   
 Dave S. Christensen  
 Registration No: 40,955  
 Agent For Applicants  
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DATE: December 3, 2001

Certificate of Facsimile Transmission

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on 03 December 2001

  
 Sara Z. Coulombe, Patent Docket Administrator  
 General Electric Company  
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